



GP Global Ltd
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In cooperation with



**Continuous Professional Training for persons
registered in the public register
Cyprus Investment Firms**

**Limassol: 30 November, 04 and 05 December 2018
and
E - Course**



Continuous Professional Training for persons registered in the public register - Cyprus Investment Firms

The Need

Articles 17(1) and 17(2)(a) of the Directive regarding the certifications of persons and the certification registers (RAD 174/2015) as amended in 2017, state that:

- 17(1) Persons registered in the public register shall be obliged to renew their registration in the public register within two months from the end of each calendar year that follows their registration in the public register.
- 17(2)(a) Persons registered in the public register shall be deemed to have renewed their registration when they submit to the Commission a statement of continued professional training on topics that fall within the existing legislation on the capital market, of a duration of **ten (10) hours for persons registered in the public register for the basic examination** and of a duration of **fifteen (15) hours for persons registered in the public register for the advanced examination, for each calendar year.**

The purpose of the above provision is to ensure that, the persons registered in the public register («certified persons») are informed of the legal framework, they are obliged to comply with, when they provide/carry out investment services/activities.

The Aim

To satisfy CySec's provision, for Continuing Professional Training, enabling CIF's employees to maintain their certification.

To expand the knowledge and competence of CIF's employees.

To answer and solve questions participants might have in the taught syllabus. In this respect:

All instructors have several years of experience, working for different companies in different positions learned how to deal with day to day issues and are able to help you move from legislation to practice, answer questions that arise as part of everyday practice and advise you how to tackle issues as part of your daily practice

Course Details

- Title:** Continuing Professional Training course for the Cyprus Investment Firms
- Dates:** Limassol 6 30 November, 04 and 05 December 2018
- Time:** 09:00 6 14:30
- Duration:** 5 teaching hours per day (5 CPD)
- Language:** English
- Venue 1:** CMS Training Centre (St. Andrew's House, 7 Argyris Eftaliotis Street, 3085 Limassol)
- Venue 2:** E-course
- Cost:** Euro 120+VAT per participant for a 5 hours course

Additional information

For additional information please contact us at:

- Tel.: +357 25755911
- Email: gpinfo@cytanet.com.cy



PRESENTERS

All instructors have several years of experience, working for different companies in different positions learned how to deal with day to day issues and are able to help you move from legislation to practice, answer questions that arise as part of everyday practice and advise you how to tackle issues as part of your daily practice

George Papanicolaou

Experience

George Papanicolaou has more than 18-years experience in the Financial industry. He worked for several years in managerial positions as area Manager, Head of Brokerage, Compliance Officer, Anti Money Laundering Officer, General Manager and Executive Director in Cypriot Investment Firms as well as Managing Director of GP GLOBAL LTD offering consulting services and training courses to Investment Firms, focuses in Internal Audit, compliance & AML issues. He offered numerous courses/seminars both in Cyprus and abroad in Investment Firms Law as well as in Compliance & Anti Money Laundering. George Papanicolaou is also a Chairman of a Nomination Committee in a company listed in the Oslo Stock Exchange.

Education

George Papanicolaou holds a BSc in Electronic Engineering from the University of Texas at Austin (USA), an MBA with specialization in Finance from Leicester University (UK) and a Postgraduate Certificate in the Mechanics of Risk Management from Middlesex University (UK). He also holds an ICA International Diploma in Anti Money Laundering from the International Compliance Association and the University of Manchester, as well as an Advance certificate from the Cyprus Securities and Exchange Commission for the provision of investment services/activities.

He is a Fellow of the International Compliance Association (FICA), Mentor of ICA new students, member of the Cyprus Institute of Internal Auditors and Network chair for Cyprus of the International Compliance association.

Stathis Christophi

Experience

Mr. Christophi began his career as an external auditor with Andersen Worldwide and Pannell Kerr Foster. He then joined the internal audit department of Eurobank in Greece. After spending 3 years with Eurobank he joined HSBC's Investment Banking division in London where he was Associate Director in the financial institutions group, specializing in mergers and acquisitions as well as capital raising transactions for banks and other financial institutions.

He was also Chief Financial Officer and a member of the investment committee at Dafolia Management (family office) in Amsterdam. His latest role was that of Chief Financial Officer at a Global FX Broker.

Education

Mr. Christophi holds a Bachelor's Degree in Accounting and Financial Management from the University of Essex and an MBA from the Kellogg School of Management at Northwestern University.

He is a Fellow of the Institute of Chartered Accountants in England & Wales (FCA) and a Member of the Association of Certified Fraud Examiners (CFE).

Demetris Kyriacou

Experience

Mr. Kyriacou began his career in the financial services industry as a registered Securities Representative with Raymond James (USA). He then worked on several Global organizations holding key positions such as Head of Portfolio Management and Chief Financial Services Manager at ICFI (part of Interros Group) as well as Director of Financial Services and Chief Compliance Officer at FxPro Financial Services. He then joined IronFX Global where he held the role of General Manager and Chief Compliance Officer. Mr Kyriacou is an expert in Global licensing and setup of investment firms in multiple jurisdictions as well as compliance and regulatory related matters. Mr Kyriacou is also a member of a committee in a company listed in the Oslo Stock Exchange.

Education

Mr. Kyriacou holds a Bachelor's Degree in Finance from Michigan State University and an MBA from Eastern Michigan University.

He is a Chartered Financial Analyst (CFA) and a holder of various professional certifications issued by the CySEC (Advanced level) and the HCMC (Financial Instruments and Market Analyst). Mr. Kyriacou has also held the Series 63 and the Series 7 certifications.



Seminar Content

Continuing Professional Training course for the Cyprus Investment Firms		
Venue: CMS Training Centre Date: 30 November 2018 Time: 09:00 ó 14:30 (5 CPD)	Venue: E-course Date: 20 & 21 November 2018 Time: 10:30 ó 13:00 (5 CPD)	
Risk Factors Guidelines		
<ul style="list-style-type: none"> • Firms' approach to assessing and managing the ML/TF risk associated with business relationships and occasional transactions • Risk assessment methodology • The factors firms should consider when assessing the ML/TF risk associated with a business relationship or occasional transaction • How firms can adjust the extent of their customer due diligence (CDD) measures in a way that is commensurate to the ML/TF risk they have identified • How firms can identify, assess and manage the ML/TF risk associated with individual business relationships and occasional transactions in a risk-based, proportionate and effective way • Risk management: simplified and enhanced customer due diligence • Application of the Risk Factors Guidelines for the purposes of complying with the requirements set out in Articles 58(a), 58(d), 58(h), 58A, 61(2), 62(2), 63 and 64 of the Prevention and Suppression of Money Laundering and Terrorist Financing Law of 2007. 		
Duration of Training	5,00	

Seminar Content

Continuing Professional Training course for the Cyprus Investment Firms		
Venue: CMS Training Centre Date: 04 December 2018 Time: 09:00 ó 14:30 (5 CPD)	Venue: E-course Date: 22 & 23 November 2018 Time: 10:30 ó 13:00 (5 CPD)	
General Data Protection Regulation (GDPR)		
HIGH LEVELS TOPICS TO BE COVERED <ul style="list-style-type: none"> • GDPR context, definitions and penalties • Principles • Rights of the data subject • Controller and processor • Transfers of personal data • Independent supervisory authorities TOPICS TO BE COVERED IN MORE DETAILS <ul style="list-style-type: none"> • GDPR Key definitions • Bands of penalties and range of awards for breaches • Six data processing principles, lawfulness and consent • Special categories of data 	<ul style="list-style-type: none"> • Rights of data subjects • Controllers and processors • Data protection by design • Securing personal data • Reporting data breaches • How to perform a DPIA (data protection impact assessment) • Role of the DPO (data protection officer) • Role of certifications • Transferring personal data outside the EU • Powers of supervisory authorities • Lead supervisory authority • Role of the EDPB (European Data Protection Board) 	
Duration of Training	5,00	



Seminar Content

Continuing Professional Training course for the Cyprus Investment Firms		
Venue: CMS Training Centre Date: 05 December 2018 Time: 09:00 ó 14:30 (5 CPD)	Venue: E-course Date: 27 & 28 November 2018 Time: 10:30 ó 13:00 (5 CPD)	
Product Governance		
<ul style="list-style-type: none"> • Product Governance requirements • Product governance obligations • Product approval and monitoring process • Product documentation • Overview of product cycle • Roles and responsibilities for product development and distribution • What is a òmanufacturerö and what specific requirements apply to them • Product governance obligations for manufacturers. Product Design/Target Market, Information to distributors, Selecting distribution channel, Post-sale responsibilities • What is a òdistributorö and what specific requirements apply to them • Product governance obligations for distributors. Product Design/Target Market, Information requirements, Selection of manufacturers, Post-sale responsibilities • Identification of òtarget marketö, Definition of the positive target market, Definition of the negative target market. • Product Governance Policy 		
Duration of Training	5,00	



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**Continuous Professional Training of the persons registered in the public register
 Cyprus Investment Firms
REGISTRATION FORM**

(Please use one registration form for each course)

Course subject	Venue	Date / Time	
Risk Factors Guidelines WiseBOS AML Clients: Euro 90 + VAT	CMS Training Centre	30 November 2018 09:00 ó 14:30	<input type="checkbox"/>
	E-Course	20 & 21 November 2018 10:30 ó 13:00	<input type="checkbox"/>

Course subject	Venue	Date / Time	
General Data Protection Regulation (GDPR)	CMS Training Centre	04 December 2018 09:00 ó 14:30	<input type="checkbox"/>
	E-Course	22 & 23 November 2017 10:30 ó 13:00	<input type="checkbox"/>

Course subject	Venue	Date / Time	
Product Governance	CMS Training Centre	05 December 2018 09:00 ó 14:30	<input type="checkbox"/>
	E-Course	27 & 28 November 2018 10:30 ó 13:00	<input type="checkbox"/>

COMPANY DETAILS

Company Name:		Fax:	
Contact Person:		Email:	
Telephone:		Website:	
Address:			

PARTICIPANTS INFORMATION

	Name	Surname	Email	Mobile No.
1.				
2.				
3.				
4.				
5.				

Invoices are issued upon registration and they are due payable at least 15 days prior to the beginning of each course. We consider that by signing this form, you commit in setting the invoice for the seminar.

Cancellation policy: The course will run subject to a minimum number of participants and GP GLOBAL LTD shall not bear any responsibility and/or liability for any damages to the participants due to course(s) cancellation.
 - 100% refund in case of cancellation of the course by GP GLOBAL LTD
 - 50% refund for cancellations received in writing by 7 days prior to the beginning of each course

Personal Data protection: GP Global Ltd acts as a controller in accordance Regulation (EU) 2016/679. GP Global would like to receive your consent to process your personal data received for indefinite period for the purpose of sending you by email information regarding the seminars and events we organize from time to time and providing information which might be of interest of you.
Please click the box to receive your consent for the above purpose:
 We do not share or sell your information with anyone.
 GP Global respects your rights as defined in Regulation (EU) 2016/679.
 You have the right to withdraw your Consent at any time by sending an email to gpinfo@cytanet.com.cy:

**Please complete Registration Form and send to:
 Fax +357-25755660 or email to gpinfo@cytanet.com.cy**

Date: _____

Signature _____